

## Isomorphisms and Equivalences

Now that we have defined categories and functors between them, it makes sense to look at the question of isomorphisms.

### Isomorphisms

A functor  $F$  from a category  $\mathcal{C}$  to a category  $\mathcal{D}$  can be said to be *surjective* if every object of  $\mathcal{D}$  is of the form  $F(A)$  for some object  $A$  in  $\mathcal{C}$ , and every morphism in  $\mathcal{D}$  is of the form  $F(f)$  for some morphism  $f$  in  $\mathcal{C}$ .

If we *only* have the condition that every morphism  $F(A)$  to  $F(B)$  is of the form  $F(f)$  (and not the condition that every object is of the form  $F(A)$ ), we say that the functor  $F$  is *full*.

A functor  $F$  from a category  $\mathcal{C}$  to a category  $\mathcal{D}$  can be said to be *injective* if distinct objects of  $\mathcal{C}$  go to distinct objects in  $\mathcal{D}$ , and distinct morphisms in  $\mathcal{D}$  go to distinct morphisms in  $\mathcal{C}$ .

If we *only* have the condition that  $F(f) = F(g) : F(A) \rightarrow F(B)$  implies  $f = g : A \rightarrow B$  (and not the condition that every  $F(A) = F(B)$  implies  $A = B$ ), we say that the functor  $F$  is *faithful*.

A functor  $F$  from a category  $\mathcal{C}$  to a category  $\mathcal{D}$  can be said to be an isomorphism if it is injective and surjective. Clearly, this is the same as saying that there is *exactly* one object of  $\mathcal{C}$  for every chosen object of  $\mathcal{D}$ , and *exactly* one morphism of  $\mathcal{C}$  for every chosen morphism of  $\mathcal{D}$ .

It follows that we can equivalently require that there is a functor  $G$  from  $\mathcal{D}$  to  $\mathcal{C}$  such that the composite functors  $G \circ F$  and  $F \circ G$  are identity functors on  $\mathcal{C}$  and  $\mathcal{D}$  respectively.

The functor  $F$  *identifies* objects and morphisms of  $\mathcal{C}$  with those of  $\mathcal{D}$ . Hence, in some ways this is *not* particularly interesting!

### Equivalences

We can weaken the above requirements as follows. As before we require a functor  $F$  from  $\mathcal{C}$  to  $\mathcal{D}$  and a functor  $G$  from  $\mathcal{D}$  to  $\mathcal{C}$ . Instead of requiring  $G \circ F$  to be the identity functor, we only require that there be a natural transformation  $\eta : G \circ F \rightarrow 1_{\mathcal{C}}$  which is an isomorphism. Similarly, we require a natural transformation  $\tau : F \circ G \rightarrow 1_{\mathcal{D}}$  which is an isomorphism. If such functors exist, we say that  $\mathcal{C}$  and  $\mathcal{D}$  are *equivalent* categories.

### Finite Sets

We use **Fin** to denote the category of finite sets. Note that even though the sets are finite, the objects of this category do not form a set! For every set  $S$ , the

set  $\{S\}$  is an object in **Fin**. Thus, we have a subcollection of the objects of **Fin** which has a one-to-one correspondence with the collection of all sets.

We use  $\mathbb{F}$  to denote that category whose objects are counting numbers  $0, 1, \dots$ . Each object  $n$  of  $\mathbb{F}$  can also be thought of as the finite set  $[1, n]$  of natural numbers from 1 to  $n$ . Note that this set is empty for  $n = 0$  and in general has exactly  $n$  elements. The morphisms from  $n$  to  $m$  are identified with set maps from  $[1, n]$  to  $[1, m]$ .

Clearly, there is a functor  $A$  from  $\mathbb{F}$  to **Fin** which takes  $n$  to the set  $[1, n]$ .

For each finite set  $S$ , the cardinality  $n = |S|$  of  $S$  is a counting number. We choose one bijection (one-to-one onto map)  $f_S : S \rightarrow [1, n]$ . We define the functor  $B$  from **Fin** to  $\mathbb{F}$  which sends a finite set  $S$  to its cardinality  $B(S) = |S|$ , and a set map  $g : S \rightarrow T$  to the morphism  $B(g) = f_T \circ g \circ f_S^{-1}$ . One checks easily that  $B$  is a functor.

Note that  $B \circ A$  is identity on objects of  $\mathbb{F}$  since  $|[1, n]| = n$ . However, it need *not* be the identity on morphisms since we may not have chosen  $f_{[1, n]} : [1, n] \rightarrow [1, n]$  to be the identity map! However, note that  $f_{[1, n]}$  is an isomorphism. Even if we choose  $f_{[1, n]}$  to be the identity map, we will see below that  $A \circ B$  is *not* identity! In any case, we check that  $\eta_n = f_{[1, n]}$  gives a natural transformation from  $B \circ A$  to  $1_{\mathbb{F}}$ .

Given an object  $S$  of **Fin**, we see that  $A \circ B(S) = [1, |S|]$  which need not be the set  $S$  when  $S$  is not the set  $[1, n]$ . However, in order to define  $B$  we have chosen an isomorphism  $f_S : S \rightarrow [1, |S|]$ . Thus, we have a natural transformation defined by  $\tau_S = f_S^{-1}$  from  $A \circ B$  to  $1_{\mathbf{Fin}}$  as can be easily checked.

Note that the objects and morphisms of  $\mathbb{F}$  form sets. This is an example of a “small” category which we shall introduce shortly. The point is that **Fin** is *not* a small category. However, it is equivalent to one. Since many behaviours of categories are preserved under equivalence, studying  $\mathbb{F}$  is “enough” in order to study **Fin**.

### Finite dimensional linear spaces

Fix a field  $k$ . We will be looking at finite dimensional linear spaces (vector spaces) over  $k$ .

We use **FLin** $_k$  to denote the category of finite dimensional linear spaces over the field  $k$  with morphisms as  $k$ -linear maps.

We use  $\mathbb{M}_k$  to denote that category whose objects are counting numbers  $0, 1, \dots$ . Each object  $n$  of  $\mathbb{M}_k$  can also be thought of as the finite dimensional  $k$ -linear space  $k^n$ . Note that this is the vector space  $\{0\}$  for  $n = 0$ . The morphisms from  $n$  to  $m$  are identified with  $m \times n$  matrices and matrix multiplication gives composition. We identify  $0 \times n$  matrices and  $n \times 0$  matrices with the single element 0 which gives a unique map  $n \rightarrow 0$ , respectively  $0 \rightarrow n$ .

Clearly, there is a functor  $A$  from  $\mathbb{M}_k$  to  $\mathbf{FLin}_k$  which takes  $n$  to the linear space  $k^n$  where we think of this space as consisting of *column* vectors and matrix multiplication as giving the linear map  $A(f) : k^n \rightarrow k^m$  associated with a matrix  $f$ .

For each finite dimensional linear space  $L$ , the dimension  $n = \dim(L)$  of  $L$  is a counting number. We *choose* one  $k$ -linear isomorphism  $v_L : k^n \rightarrow L$ . This corresponds to a choice  $(v_1, \dots, v_n)$  of basis of  $L$  with map defined by  $v_L((a_1, \dots, a_n)) = \sum_{i=1}^n a_i v_i$ .

We define  $B(L) = n$  for an  $n$ -dimensional linear space. A linear map  $g : L \rightarrow M$  is associated to the matrix  $B(g)$  of the map  $v_M^{-1} \circ g \circ v_L$  from  $k^n$  to  $k^m$  (with respect to the standard basis). This is the *same* as taking the matrix associated to the linear  $g$  with respect to the chosen bases of  $L$  and  $M$ .

Note that  $B \circ A$  is identity on objects of  $\mathbb{M}_k$  since the dimension of  $k^n$  is  $n$ . Note that it need *not* be the identity on morphisms since we may not have chosen the standard basis for  $k^n$ ! However, note that  $v_{k^n}$  *is* an isomorphism. Even if we choose the standard basis for  $k^n$ , we will see below that  $A \circ B$  is *not* identity! In any case, we check that  $\eta_n = v_{k^n}$  gives a natural transformation from  $B \circ A$  to  $1_{\mathbb{M}_k}$ .

Given an object  $L$  of  $\mathbf{FLin}_k$ , we see that  $A \circ B(L) = k^n$  which need not be the space  $L$  when  $L$  is not the space  $k^n$ . In order to define  $B$  we have chosen an isomorphism  $v_L : L \rightarrow k^{\dim(S)}$ . Thus, we have a natural transformation defined by  $\tau_L = v_L$  from  $A \circ B$  to  $1_{\mathbf{FLin}_k}$  as can be easily checked.

Again note that the objects and morphisms of  $\mathbb{M}_k$  form sets. Hence, this is also an example of a “small” category. The point is that  $\mathbf{FLin}_k$  is *not* a small category. What we have shown is that it is equivalent to one. Thus, studying  $\mathbb{M}_k$  is “enough” in order to study  $\mathbf{FLin}_k$ .