

- 3.4. Carry out a similar exercise to the one above, assuming  $\alpha''$  is an isomorphism.  
 3.5. Use the *universal property* of the direct sum to show that

$$(A_1 \oplus A_2) \oplus A_3 \cong A_1 \oplus (A_2 \oplus A_3).$$

- 3.6. Show that  $\mathbb{Z}_m \oplus \mathbb{Z}_n = \mathbb{Z}_{mn}$  if and only if  $m$  and  $n$  are mutually prime.  
 3.7. Show that the following statements about the exact sequence

$$0 \rightarrow A' \xrightarrow{\alpha'} A \xrightarrow{\alpha''} A'' \rightarrow 0$$

of  $A$ -modules are equivalent:

- (i) there exists  $\mu: A'' \rightarrow A$  with  $\alpha'' \mu = 1$  on  $A''$ ;  
 (ii) there exists  $\varepsilon: A \rightarrow A'$  with  $\varepsilon \alpha' = 1$  on  $A'$ ;  
 (iii)  $0 \rightarrow \text{Hom}_A(B, A') \xrightarrow{\alpha'^*} \text{Hom}_A(B, A) \xrightarrow{\alpha''^*} \text{Hom}_A(B, A'') \rightarrow 0$  is exact for all  $B$ ;  
 (iv)  $0 \rightarrow \text{Hom}_A(A'', C) \xrightarrow{\alpha''^*} \text{Hom}_A(A, C) \xrightarrow{\alpha'^*} \text{Hom}_A(A', C) \rightarrow 0$  is exact for all  $C$ ;  
 (v) there exists  $\mu: A'' \rightarrow A$  such that  $\langle \alpha', \mu \rangle: A' \oplus A'' \xrightarrow{\sim} A$ .
- 3.8. Show that if  $0 \rightarrow A' \xrightarrow{\alpha'} A \xrightarrow{\alpha''} A'' \rightarrow 0$  is pure and if  $A''$  is a direct sum of cyclic groups then statement (i) above holds (see Exercise 2.7).

#### 4. Free and Projective Modules

Let  $A$  be a  $A$ -module and let  $S$  be a subset of  $A$ . We consider the set  $A_0$  of all elements  $a \in A$  of the form  $a = \sum_{s \in S} \lambda_s s$  where  $\lambda_s \in A$  and  $\lambda_s \neq 0$  for only a finite number of elements  $s \in S$ . It is trivially seen that  $A_0$  is a submodule of  $A$ ; hence it is the smallest submodule of  $A$  containing  $S$ .

If for the set  $S$  the submodule  $A_0$  is the whole of  $A$ , we shall say that  $S$  is a set of *generators* of  $A$ . If  $A$  admits a finite set of generators it is said to be *finitely generated*. A set  $S$  of generators of  $A$  is called a *basis* of  $A$  if every element  $a \in A$  may be expressed *uniquely* in the form  $a = \sum_{s \in S} \lambda_s s$  with  $\lambda_s \in A$  and  $\lambda_s \neq 0$  for only a finite number of elements  $s \in S$ . It is readily seen that a set  $S$  of generators is a basis if and only if it is *linearly independent*, that is, if  $\sum_{s \in S} \lambda_s s = 0$  implies  $\lambda_s = 0$  for all  $s \in S$ . The reader should note that not every module possesses a basis.

*Definition.* If  $S$  is a basis of the  $A$ -module  $P$ , then  $P$  is called *free on the set  $S$* . We shall call  $P$  *free* if it is free on some subset.

**Proposition 4.1.** *Suppose the  $A$ -module  $P$  is free on the set  $S$ . Then  $P \cong \bigoplus_{s \in S} A_s$  where  $A_s = A$  as a left module for  $s \in S$ . Conversely,  $\bigoplus_{s \in S} A_s$  is free on the set  $\{1_{A_s}, s \in S\}$ .*

*Proof.* We define  $\varphi: P \rightarrow \bigoplus_{s \in S} A_s$  as follows: Every element  $a \in P$  is expressed uniquely in the form  $a = \sum_{s \in S} \lambda_s s$ ; set  $\varphi(a) = (\lambda_s)_{s \in S}$ . Conversely,

for  $s \in S$  define  $\psi_s: A_s \rightarrow P$  by  $\psi_s(\lambda_s) = \lambda_s s$ . By the universal property of the direct sum the family  $\{\psi_s\}$ ,  $s \in S$ , gives rise to a map  $\psi = \langle \psi_s \rangle: \bigoplus_{s \in S} A_s \rightarrow P$ .

It is readily seen that  $\varphi$  and  $\psi$  are inverse to each other. The remaining assertion immediately follows from the construction of the direct sum.  $\square$

The next proposition yields a universal characterization of the free module on the set  $S$ .

**Proposition 4.2.** *Let  $P$  be free on the set  $S$ . To every  $A$ -module  $M$  and to every function  $f$  from  $S$  into the set underlying  $M$ , there is a unique  $A$ -module homomorphism  $\varphi: P \rightarrow M$  extending  $f$ .*

*Proof.* Let  $f(s) = m_s$ . Set  $\varphi(a) = \varphi\left(\sum_{s \in S} \lambda_s s\right) = \sum_{s \in S} \lambda_s m_s$ . This obviously is the only homomorphism having the required property.  $\square$

**Proposition 4.3.** *Every  $A$ -module  $A$  is a quotient of a free module  $P$ .*

*Proof.* Let  $S$  be a set of generators of  $A$ . Let  $P = \bigoplus_{s \in S} A_s$  with  $A_s = A$  and define  $\varphi: P \rightarrow A$  to be the extension of the function  $f$  given by  $f(1_{A_s}) = s$ . Trivially  $\varphi$  is surjective.  $\square$

**Proposition 4.4.** *Let  $P$  be a free  $A$ -module. To every surjective homomorphism  $\varepsilon: B \rightarrow C$  of  $A$ -modules and to every homomorphism  $\gamma: P \rightarrow C$  there exists a homomorphism  $\beta: P \rightarrow B$  such that  $\varepsilon\beta = \gamma$ .*

*Proof.* Let  $P$  be free on  $S$ . Since  $\varepsilon$  is surjective we can find elements  $b_s \in B$ ,  $s \in S$  with  $\varepsilon(b_s) = \gamma(s)$ ,  $s \in S$ . Define  $\beta$  as the extension of the function  $f: S \rightarrow B$  given by  $f(s) = b_s$ ,  $s \in S$ . By the uniqueness part of Proposition 4.2 we conclude that  $\varepsilon\beta = \gamma$ .  $\square$

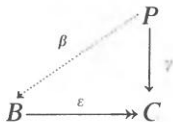
To emphasize the importance of the property proved in Proposition 4.4 we make the following remark: Let  $A \xrightarrow{\mu} B \xrightarrow{\varepsilon} C$  be a short exact sequence of  $A$ -modules. If  $P$  is a free  $A$ -module Proposition 4.4 asserts that every homomorphism  $\gamma: P \rightarrow C$  is induced by a homomorphism  $\beta: P \rightarrow B$ . Hence using Theorem 2.1 we can conclude that the induced sequence

$$0 \rightarrow \text{Hom}_A(P, A) \xrightarrow{\mu_*} \text{Hom}_A(P, B) \xrightarrow{\varepsilon_*} \text{Hom}_A(P, C) \rightarrow 0 \quad (4.1)$$

is exact, i.e. that  $\varepsilon_*$  is surjective. Conversely, it is readily seen that exactness of (4.1) for all short exact sequences  $A \rightarrow B \rightarrow C$  implies for the module  $P$  the property asserted in Proposition 4.4 for  $P$  a free module. Therefore there is considerable interest in the class of modules having this property. These are by definition the projective modules:

*Definition.* A  $A$ -module  $P$  is *projective* if to every surjective homomorphism  $\varepsilon: B \rightarrow C$  of  $A$ -modules and to every homomorphism  $\gamma: P \rightarrow C$  there exists a homomorphism  $\beta: P \rightarrow B$  with  $\varepsilon\beta = \gamma$ . Equivalently, to any homomorphisms  $\varepsilon, \gamma$  with  $\varepsilon$  surjective in the diagram below there exists

$\beta$  such that the triangle



is commutative.

As mentioned above, every free module is projective. We shall give some more examples of projective modules at the end of this section.

**Proposition 4.5.** *A direct sum  $\bigoplus_{i \in I} P_i$  is projective if and only if each  $P_i$  is.*

*Proof.* We prove the proposition only for  $A = P \oplus Q$ . The proof in the general case is analogous. First assume  $P$  and  $Q$  projective. Let  $\varepsilon: B \rightarrow C$  be surjective and  $\gamma: P \oplus Q \rightarrow C$  a homomorphism. Define  $\gamma_P \doteq \gamma \iota_P: P \rightarrow C$  and  $\gamma_Q = \gamma \iota_Q: Q \rightarrow C$ . Since  $P, Q$  are projective there exist  $\beta_P, \beta_Q$  such that  $\varepsilon \beta_P = \gamma_P, \varepsilon \beta_Q = \gamma_Q$ . By the universal property of the direct sum there exists  $\beta: P \oplus Q \rightarrow B$  such that  $\beta \iota_P = \beta_P$  and  $\beta \iota_Q = \beta_Q$ . It follows that  $(\varepsilon \beta) \iota_P = \varepsilon \beta_P = \gamma_P = \gamma \iota_P$  and  $(\varepsilon \beta) \iota_Q = \varepsilon \beta_Q = \gamma_Q = \gamma \iota_Q$ . By the uniqueness part of the universal property we conclude that  $\varepsilon \beta = \gamma$ . Of course, this could be proved using the explicit construction of  $P \oplus Q$ , but we prefer to emphasize the universal property of the direct sum.

Next assume that  $P \oplus Q$  is projective. Let  $\varepsilon: B \rightarrow C$  be a surjection and  $\gamma_P: P \rightarrow C$  a homomorphism. Choose  $\gamma_Q: Q \rightarrow C$  to be the zero map. We obtain  $\gamma: P \oplus Q \rightarrow C$  such that  $\gamma \iota_P = \gamma_P$  and  $\gamma \iota_Q = \gamma_Q = 0$ . Since  $P \oplus Q$  is projective there exists  $\beta: P \oplus Q \rightarrow B$  such that  $\varepsilon \beta = \gamma$ . Finally we obtain  $\varepsilon(\beta \iota_P) = \gamma \iota_P = \gamma_P$ . Hence  $\beta \iota_P: P \rightarrow B$  is the desired homomorphism. Thus  $P$  is projective; similarly  $Q$  is projective.  $\square$

In Theorem 4.7 below we shall give a number of different characterizations of projective modules. As a preparation we define:

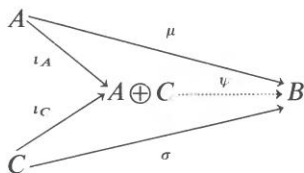
*Definition.* A short exact sequence  $A \xrightarrow{\mu} B \xrightarrow{\varepsilon} C$  of  $A$ -modules *splits* if there exists a left inverse to  $\varepsilon$ , i.e. a homomorphism  $\sigma: C \rightarrow B$  such that  $\sigma \varepsilon = 1_C$ . The map  $\sigma$  is then called a *splitting*.

We remark that the sequence  $A \xrightarrow{\iota_A} A \oplus C \xrightarrow{\pi_C} C$  is exact, and splits by the homomorphism  $\iota_C$ . The following lemma shows that all split short exact sequences of modules are of this form (see Exercise 3.7).

**Lemma 4.6.** *Suppose that  $\sigma: C \rightarrow B$  is a splitting for the short exact sequence  $A \xrightarrow{\mu} B \xrightarrow{\varepsilon} C$ . Then  $B$  is isomorphic to the direct sum  $A \oplus C$ . Under this isomorphism,  $\mu$  corresponds to  $\iota_A$  and  $\sigma$  to  $\iota_C$ .*

In this case we shall say that  $C$  (like  $A$ ) is a *direct summand* in  $B$ .

*Proof.* By the universal property of the direct sum we define a map  $\psi$  as follows



Then the diagram

$$\begin{array}{ccccc} A & \xrightarrow{\iota_A} & A \oplus C & \xrightarrow{\pi_C} & C \\ \parallel & & \downarrow \psi & & \parallel \\ A & \xrightarrow{\mu} & B & \xrightarrow{\varepsilon} & C \end{array}$$

is commutative; the left hand square trivially is; the right hand square is by  $\varepsilon\psi(a, c) = \varepsilon(\mu a + \sigma c) = 0 + \varepsilon\sigma c = c$ , and  $\pi_C(a, c) = c$ ,  $a \in A$ ,  $c \in C$ . By Lemma 1.1  $\psi$  is an isomorphism.  $\square$

**Theorem 4.7.** For a  $\Lambda$ -module  $P$  the following statements are equivalent:

- (1)  $P$  is projective;
- (2) for every short exact sequence  $A \xrightarrow{\mu} B \xrightarrow{\varepsilon} C$  of  $\Lambda$ -modules the induced sequence

$$0 \rightarrow \text{Hom}_\Lambda(P, A) \xrightarrow{\mu_*} \text{Hom}_\Lambda(P, B) \xrightarrow{\varepsilon_*} \text{Hom}_\Lambda(P, C) \rightarrow 0$$

is exact;

- (3) if  $\varepsilon: B \rightarrow C$  is surjective, then there exists a homomorphism  $\beta: P \rightarrow B$  such that  $\varepsilon\beta = 1_P$ ;
- (4)  $P$  is a direct summand in every module of which it is a quotient;
- (5)  $P$  is a direct summand in a free module.

*Proof.* (1)  $\Rightarrow$  (2). By Theorem 2.1 we only have to show exactness at  $\text{Hom}_\Lambda(P, C)$ , i.e. that  $\varepsilon_*$  is surjective. But since  $\varepsilon: B \rightarrow C$  is surjective this is asserted by the fact that  $P$  is projective.

(2)  $\Rightarrow$  (3). Choose an exact sequence  $\ker \varepsilon \rightarrow B \xrightarrow{\varepsilon} C$ . The induced sequence

$$0 \rightarrow \text{Hom}_\Lambda(P, \ker \varepsilon) \rightarrow \text{Hom}_\Lambda(P, B) \xrightarrow{\varepsilon_*} \text{Hom}_\Lambda(P, C) \rightarrow 0$$

is exact. Therefore there exists  $\beta: P \rightarrow B$  such that  $\varepsilon\beta = 1_P$ .

(3)  $\Rightarrow$  (4). Let  $P \cong B/A$ , then we have an exact sequence  $A \rightarrow B \xrightarrow{\varepsilon} P$ . By (3) there exists  $\beta: P \rightarrow B$  such that  $\varepsilon\beta = 1_P$ . By Lemma 4.6 we conclude that  $P$  is a direct summand in  $B$ .

(4)  $\Rightarrow$  (5). By Proposition 4.3  $P$  is a quotient of a free module  $P'$ . By (4)  $P$  is a direct summand in  $P'$ .

(5)  $\Rightarrow$  (1). By (5)  $P' \cong P \oplus Q$ , where  $P'$  is a free module. Since free modules are projective, it follows from Proposition 4.5 that  $P$  is projective.  $\square$

Next we list some examples:

- (a) If  $\Lambda = K$ , a field, then every  $K$ -module is free, hence projective.
- (b) By Exercise 2.2 and (2) of Theorem 4.7,  $\mathbb{Z}_n$  is not projective as a module over the integers. Hence a finitely generated abelian group is projective if and only if it is free.
- (c) Let  $\Lambda = \mathbb{Z}_6$ , the ring of integers modulo 6. Since  $\mathbb{Z}_6 = \mathbb{Z}_3 \oplus \mathbb{Z}_2$  as a  $\mathbb{Z}_6$ -module, Proposition 4.5 shows that  $\mathbb{Z}_2$  as well as  $\mathbb{Z}_3$  are projective  $\mathbb{Z}_6$ -modules. However, they are plainly not free  $\mathbb{Z}_6$ -modules.

**Exercises:**

4.1. Let  $V$  be a vector space of countable dimension over the field  $K$ . Let  $A = \text{Hom}_K(V, V)$ . Show that, as  $K$ -vector spaces  $V$ , is isomorphic to  $V \oplus V$ . We therefore obtain

$$A = \text{Hom}_K(V, V) \cong \text{Hom}_K(V \oplus V, V) \cong \text{Hom}_K(V, V) \oplus \text{Hom}_K(V, V) = A \oplus A.$$

Conclude that, in general, the free module on a set of  $n$  elements may be isomorphic to the free module on a set of  $m$  elements, with  $n \neq m$ .

4.2. Given two projective  $A$ -modules  $P, Q$ , show that there exists a free  $A$ -module  $R$  such that  $P \oplus R \cong Q \oplus R$  is free. (Hint: Let  $P \oplus P'$  and  $Q \oplus Q'$  be free. Define  $R = P' \oplus (Q \oplus Q') \oplus (P \oplus P') \oplus \cdots \cong Q' \oplus (P \oplus P') \oplus (Q \oplus Q') \oplus \cdots$ .)

4.3. Show that  $\mathbb{Q}$  is not a free  $\mathbb{Z}$ -module.

4.4. Need a direct product of projective modules be projective?

4.5. Show that if  $0 \rightarrow N \rightarrow P \rightarrow A \rightarrow 0$ ,  $0 \rightarrow M \rightarrow Q \rightarrow A \rightarrow 0$  are exact with  $P, Q$  projective, then  $P \oplus M \cong Q \oplus N$ . (Hint: Use Exercise 3.4.)

4.6. We say that  $A$  has a *finite presentation* if there is a short exact sequence  $0 \rightarrow N \rightarrow P \rightarrow A \rightarrow 0$  with  $P$  finitely-generated projective and  $N$  finitely-generated. Show that

(i) if  $A$  has a finite presentation, then, for every exact sequence

$$0 \rightarrow R \rightarrow S \rightarrow A \rightarrow 0$$

with  $S$  finitely-generated,  $R$  is also finitely-generated;

(ii) if  $A$  has a finite presentation, it has a finite presentation with  $P$  free;

(iii) if  $A$  has a finite presentation every presentation  $0 \rightarrow N \rightarrow P \rightarrow A \rightarrow 0$  with  $P$  projective,  $N$  finitely-generated is finite, and every presentation  $0 \rightarrow N \rightarrow P \rightarrow A \rightarrow 0$  with  $P$  finitely-generated projective is finite:

(iv) if  $A$  has a presentation  $0 \rightarrow N_1 \rightarrow P_1 \rightarrow A \rightarrow 0$  with  $P_1$  finitely-generated projective, and a presentation  $0 \rightarrow N_2 \rightarrow P_2 \rightarrow A \rightarrow 0$  with  $P_2$  projective,  $N_2$  finitely-generated, then  $A$  has a finite presentation (indeed, both the given presentations are finite).

4.7. Let  $A = K(x_1, \dots, x_n, \dots)$  be the polynomial ring in countably many indeterminates  $x_1, \dots, x_n, \dots$  over the field  $K$ . Show that the ideal  $I$  generated by  $x_1, \dots, x_n, \dots$  is not finitely generated. Hence we may have a presentation  $0 \rightarrow N \rightarrow P \rightarrow A \rightarrow 0$  with  $P$  finitely generated projective and  $N$  not finitely-generated.

## 5. Projective Modules over a Principal Ideal Domain

Here we shall prove a rather difficult theorem about principal ideal domains. We remark that a very simple proof is available if one is content to consider only finitely generated  $A$ -modules; then the theorem forms a part of the fundamental classical theorem on the structure of finitely generated modules over principal ideal domains.

Recall that a principal ideal domain  $A$  is a commutative ring without divisors of zero in which every ideal is principal, i.e. generated by